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Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 58150  
Application ID: 09751899  
Title of Invention: A Platform and Method of  
Creating a Secure Boot That  
Enforces Proper User  
Authentication and Enforces  
Hardware Configurations  
First Named Inventor: David Grawrock  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-27  
Effective Receipt Date: 2004-03-30  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 9094  
Attorney Docket Number: 42P09844  
Total Fees Authorized: 180.0  
Payment Category: Deposit Account  
Deposit Account Number: 22666  
Deposit Account Name: William W. Schaal  
Access Code: \*\*\*\*  
RAM Payment Status: RAM has been failed because:  
Deposit Account has insufficient funds to complete sale.






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Digital Certificate Holder: cn=William W. Schaal,ou=Registered Attorneys,ou=Patent and Trademark  
Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: 4ebfe094ab6eda78a0fe7c934343e34973dba5ed



TRANSMITTAL

Electronic Version v1.1  
Stylesheet Version v1.1.0

Title of Invention	A Platform and Method of Creating a Secure Boot That Enforces Proper User Authentication and Enforces Hardware Configurations																
<table><tr><td>Application Number:</td><td>09/751899</td><td></td></tr><tr><td>Date:</td><td>2000-12-27</td><td></td></tr><tr><td>First Named Applicant:</td><td>David Grawrock</td><td></td></tr><tr><td>Confirmation Number:</td><td>9094</td><td></td></tr><tr><td>Attorney Docket Number:</td><td>42P09844</td><td></td></tr></table> <div>RECEIVED APR 02 2004 Technology Center 2100</div>			Application Number:	09/751899		Date:	2000-12-27		First Named Applicant:	David Grawrock		Confirmation Number:	9094		Attorney Docket Number:	42P09844	
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Attorney Docket Number:	42P09844																
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Submitted by:	Elec. Sign.	Sign. Capacity															
William W. Schaal Registered Number: 39018	William W. Schaal	Attorney															
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-fee-sheet</td><td>42P09844-usfees.xml</td></tr><tr><td></td><td>us-fee-sheet.xsl</td></tr><tr><td></td><td>us-fee-sheet.dtd</td></tr><tr><td>us-ids</td><td>42P09844-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			Documents being submitted	Files	us-fee-sheet	42P09844-usfees.xml		us-fee-sheet.xsl		us-fee-sheet.dtd	us-ids	42P09844-usidst.xml		us-ids.dtd		us-ids.xsl	
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us-fee-sheet	42P09844-usfees.xml																
	us-fee-sheet.xsl																
	us-fee-sheet.dtd																
us-ids	42P09844-usidst.xml																
	us-ids.dtd																
	us-ids.xsl																

Comments


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FEE TRANSMITTAL

Electronic Version v08  
Stylesheet Version v08.0

Title of Invention	A Platform and Method of Creating a Secure Boot That Enforces Proper User Authentication and Enforces Hardware Configurations
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Application Number:	09/751899		<b>RECEIVED</b> APR 02 2004 Technology Center 2100
Date:	2000-12-27		
First Named Applicant:	David Grawrock		
Attorney Docket Number:	42P09844		
Art Unit:	2175		
Examiner:	Hassan Mahmoudi		

**TOTAL FEE AUTHORIZED \$180**

Patent fees are subject to annual revisions on or about October 1st of each year.

BASIC FILING FEE

Fee Description	Fee Code	Amount \$	Fee Paid \$
Submission Of Information Disclosure Stmt Fee	1806	180	180

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The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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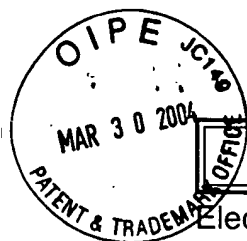
Access Code: \*\*\*\*

Deposit name: Blakely Sokoloff Taylor Zafman LLP

Deposit authorized name: William W. Schaal

Signature: William W. Schaal

Date (YYYYMMDD): 2004-03-30



# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

A Platform and Method of Creating a Secure Boot That  
Enforces Proper User Authentication and Enforces Hardware  
Configurations

Application Number: 09/751899

Confirmation Number: 9094

First Named Applicant: David Grawrock

Attorney Docket Number: 42P09844

Art Unit: 2175

Examiner: Hassan Mahmoudi

Search string: ( 3996449 or 4162536 or 4276594 or 4307447  
or 4430709 or 4802084 or 4975836 or 5187802  
or 5230069 or 5295251 or 5319760 or 5361375  
or 5469557 or 5506975 or 5555385 or 5555414  
or 5564040 or 5574936 or 5582717 or 5956408  
or 5978475 or 6044478 or 6088262 or 6173417  
or 6175924 or 6188257 or 6275933 or 6282650  
or 6378068 ).pn.



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## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3996449	1976-12-07	Attanasio, Clement R., et al.			
	2	4162536	1979-07-24	Morley, Richard E.			
	3	4276594	1981-06-30	Morley, Richard E.			
	4	4307447	1981-12-22	Provanzano, Salvatore R., et al.			
	5	4430709	1984-02-07	Schleupen, Richard , et al.			
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	7	4975836	1990-12-04	Hirosawa, Toshio , et al.			
	8	5187802	1993-02-16	Inoue, Taro , et al.			
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	11	5319760	1994-06-07	Mason, Andrew H., et al.			

	12	5361375	1994-11-01	Ogi, Yoshifumi
	13	5469557	1995-11-21	Salt, T., et al.
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	15	5555385	1996-09-10	Osisek, Damian L.
	16	5555414	1996-09-10	Hough, Roger E., et al.
	17	5564040	1996-10-08	Kubals, Jeffrey P.
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	19	5582717	1996-12-10	Di Santo, Dennis E.
	20	5956408	1999-09-21	Arnold, Todd W.
	21	5978475	1999-11-02	Schneier, Bruce , et al.
	22	6044478	2000-03-28	Green, Daniel W.
	23	6088262	2000-07-11	Nasu, Hiroaki
	24	6173417	2001-01-09	Merrill, John W.
	25	6175924	2001-01-16	Arnold, Todd W.
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	27	6275933	2001-08-14	Fine, Michael , et al.
	28	6282650	2001-08-28	Derek, L. D.
	29	6378068	2002-04-23	Foster, Mark J.

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Examiner Name	Date